

Applicant or Patentee: FERSHT ET AL.  
Serial or Patent No.: 09/276,455  
Filed or Issued: March 25, 1999  
For: CHAPERONE FRAGMENTS



Frommer Lawrence & Haug LLP  
File No.: 674508-2001  
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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name Of Organization: MEDICAL RESEARCH COUNSEL <sup>CI</sup> <sub>mw</sub>  
Address Of Organization: 20 PARK CRESCENT  
LONDON WIN 4AL, GREAT BRITAIN

Type Of Organization:

- ☐ university or other institution of higher education  
☐ tax exempt under internal revenue service code (26 USC 501(a) and 502(c)(3))  
☐ nonprofit scientific or educational under statute of state of the United States of America  
<sub>mw</sub> ☒ would qualify as tax exempt under internal revenue service code (26 USC 501(a) and 502(c)(3)) if located in the United States of America  
☒ would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled **CHAPERONE FRAGMENTS** inventor(s): **Alan Roy FERSHT, Ralph ZAHN and Myriam Marlenne ALTAMIRANO** described in

- ☐ the specification filed herewith.  
☒ application serial no. 09/276,455, filed March 25, 1999.  
☐ patent no. , issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

#13

PATENT  
674508-2001



**DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes reference to PCT International Applications)

FROMMER LAWRENCE & HAUG, LLP  
File No.: 674508-2001

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor (if plural, names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ENTITLED:

**CHAPERONE FRAGMENTS**

the specification of which:

is attached hereto

- X was filed on March 25, 1999 as:
- X United States Application Serial No. 09/276,455 as a continuation-in-part of  
PCT Application No. PCT/GB97/02652
- X with amendments through DATE EVEN HEREWITH (if applicable, give details).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a) - (d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT International application(s) designating at least one country other than the United State of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT International applications designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign/PCT Application(s) [list additional applications on separate page]:

<u>Country (or PCT)</u>	<u>Application Number:</u>	<u>Filed (Day/Month/Year)</u>	Priority Claimed:	
			<u>Yes</u>	<u>No</u>
PCT	GB97/02652	26 SEPTEMBER 1997	X	
PCT	GB96/02980	3 DECEMBER 1996	X	
U.K.	9620243.7	26 SEPTEMBER 1996	X	

(GREAT BRITAIN, GB)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) or § 365 (c) of any PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. (or U.S.-designating PCT) Application(s) [list additional applications on separate page]:  
U.S. Serial No.: Filed (Day/Month/Year) PCT Application No. Status (patented, pending, abandoned)

PCT/GB97/02652	26 SEPTEMBER 1997	PENDING
PCT/GB96/02980	3 DECEMBER 1996	

I hereby appoint Thomas J. Kowalski, Registration No. 32,147 and FROMMER LAWRENCE & HAUG, LLP or their duly appointed associates, my attorneys or agents, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and to insert the Serial Number of the application in the space provided above, and specify that all communications about the application are to be directed to the following correspondence address:

Thomas J. Kowalski, Esq.  
c/o FROMMER LAWRENCE &  
HAUG, LLP  
745 Fifth Avenue  
New York, NY 10151  
FAX (212) 588-0500

Direct all telephone calls to: (212) 588-0800  
to the attention of:  
Thomas J. Kowalski

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S):

Signature:

*Alan Roy Fersht*

Date:

*6 May '99*

Full name of first inventor: Alan Roy FERSHT

Residence: Lensfield Road, Cambridge CB2 1EW, Great Britain

Citizenship: BRITISH

Signature:

*Ralph ZAHN*

Date:

*17 May '99*

Full name of second inventor: Ralph ZAHN

☒ Residence: ~~Lensfield Road, Cambridge CB2 1EW, Great Britain~~

☒ Citizenship: ~~BRITISH~~ GERMAN

*Segantini Str. 71*

*8049 Zürich*

*Switzerland*

Signature:

*Myriam Marlenne Altamirano*

Date:

*6 May '99*

Full name of third inventor: Myriam Marlenne ALTAMIRANO

Residence: 302 Hills Road, Cambridge CB2 2QG, Great Britain

Citizenship: MEXICAN

Post Office Address(es) of inventors [if different from residence]:

NOTE: In order to qualify for reduced fees available to Small Entities, each inventor and any other individual or entity having rights to the invention must also sign an appropriate separate "Verified Statement (Declaration) Claiming [or Supporting a Claim by Another for] Small Entity Status" form [e.g. for Independent Inventor, Small Business Concern, Nonprofit Organization, Individual Non-Inventor].

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than the inventor who could not qualify as a small business concern under 35 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Full Name:

Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name:

Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name

Address

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:

Title in Organization:

Address of Person Signing:

Martin R. Wood Ph.D.  
Deputy Director, Technology Transfer Group

Martin R. Wood Ph.D.  
Deputy Director, Technology Transfer Group

Signature: M. R. Wood

Date: 5 May 1999